### Day 1 – September 9, 2019 (8.0 CPE)

<table>
<thead>
<tr>
<th>Time</th>
<th>Event</th>
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<tbody>
<tr>
<td>7:30 – 8:00 a.m.</td>
<td>Registration, Light Breakfast and Networking</td>
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<tr>
<td>8:00 – 8:10 a.m.</td>
<td>Welcome</td>
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| 8:10 – 9:50 a.m. | **Don’t Overreact, but Don’t Underreact:** How to Hold Courageous Conversations  
Nicole Lance, CEO, Lance Strategies  
Two things get in the way of productive interactions at work: our mouths and our brains. This session focuses on some of the brain science behind difficult dialogues in the workplace and offers practical tips and tactics for navigating workplace communication. We will also tackle why resiliency is critical for managing through stressful times and recovering from setbacks, as well as why it is a key indicator of successful individuals and teams. Attendees will receive specific strategies for building resiliency and efficacy in the workplace and develop platforms for building advocacy for their ideas and initiatives. |
| 9:50 – 10:05 a.m. | Break                                                               |
| 10:05 – 10:55 a.m. | **Money Laundering and Fraud**  
Caroline Cateil, AML Analyst Senior, Western Alliance Bank  
This session will define money laundering and fraud and provide information on common money laundering typologies and fraud scams, how to spot red flags, and what to do if you or someone you know is a victim. |
| 11:00 – 11:50 a.m. | **Enhance Data Analytics**  
Marie Hoie, Heinfeld Meech & Co., P.C.  
Explore the potential applications of audit enhancements such as Benford’s Law, fuzzy matching, keyword analysis and data visualization. This session will teach attendees how to apply such procedures in Excel. |
| 11:50 a.m. – 12:45 p.m. | Lunch                                                               |
| 12:45 – 2:25 p.m. | **Ethics & the Auditor: Principles, Purpose, Pathways**  
Lori Cox, Tribal Administrator, Pascua Yaqui Tribe (AZ)  
The importance of ethics for auditors is undeniable. In addition to upholding and promoting high ethical standards, auditors must assess – and recommend improvements for – organizational governance processes that promote ethics within the organization. To effectively meet these requirements, auditors must understand the meaning and importance of ethics as well as what can go wrong. In this session, we will review the meanings of ethics; discuss the advantages of ethics; explore why individuals may fail to do what is right – even when they may “know” what is right; and discuss the auditor’s contribution to a strong ethical climate. |
| 2:25 – 2:40 p.m. | Break                                                              |
| 2:40 – 4:20 p.m. | **Truth, Deception or Lies: How to Spot a Liar**  
Doug Cash, Senior Manager-Fraud & Forensic Advisory Services, Eide Bailly  
Research has shown that most people have no more than a 50-50 chance at identifying a lie when it is told to them. However, there are ways a person can enhance their ability to identify a lie by understanding how deception affects a person’s stress level and responses to questions. A person can learn to “drill down” into the deception and determine if a true lie is causing the stress or if the person is simply withholding sensitive information about themselves or others. A person can control what they say but can’t control what their body does under stress. In this presentation, participants will learn where the study of deception started and how it has |
evolved over the years. Becoming a professional interviewer or a Certified Forensic Interviewer takes years but understanding deception will help everyone who interacts with people on a daily basis have a better grasp of the true meaning behind the words.

**Day 2 – September 10, 2019 (8 CPE)**

7:30 – 8:00 a.m. Registration, Light Breakfast and Networking

8:00 – 8:05 a.m. Welcome

8:05 – 8:55 a.m. *The Future of Ransomware and Other Cyber Attacks*  
(Field of Study: Information Technology)

Mark Dallmeier, Vice President, Avertium

This session will review a timeline and history of Ransomware and other Malware, Exploits and Social Engineering attacks and will provide an overview of current and future attack trends. During this session, we will discuss and provide answers to the question: “why ransomware is effective and how ransomware will be modified and used in the future to attack government and businesses.” We will review and discuss the reasons why governments, suppliers, partners, businesses and employees are being targeted and hacked, and how those attacks are taking place. The session will also review how organizations can better prepare and protect themselves and their employees from the next generation of ransomware attacks and will provide best practices for preventing current attacks.

9:00 – 9:50 a.m. *Agile Auditing in Practice*  
(Field of Study: Auditing-Governmental)

Patra Carrol, IT Audit Supervisor, Kenton Schaben, Internal Audit Supervisor and Chris Moore, Senior IT Auditor, Maricopa County Internal Audit

Agile internal audit is a framework and process that drives clearer outcomes, increased engagement, and more impactful results. Deloitte’s Global CAE survey indicates that 14% of internal audit functions are using Agile and 41% more intend to deploy! Join our presenters from Maricopa County Internal Audit as they share their successes and challenges of implementing Agile in internal audit. Learn the Agile framework and methodology adopted from best practices and how it can be applied in the public sector. In this session, participants will:

- Reference cases of practical applications of Agile to internal audit projects.
- Discover the associated benefits and lessons learned from Agile implementation.
- Identify options to apply within their teams and drive clearer outcomes.

9:50 – 10:05 a.m. Break

10:05 – 10:55 a.m. *Forensic Accounting and Its Role in Investigations*  
(Field of Study: Accounting-Governmental)

Dominick Margarella, Forensic Accountant, Federal Bureau of Investigation

This session will provide an overview of the FBI’s Forensic Accountant program along with a case study of an adjudicated investigation.

11:00 – 11:50 a.m. *Chief Audit Executive Panel*  
(Field of Study: Auditing-Governmental)

Moderator: Brad Hubert, Senior Auditor, City of Scottsdale (AZ)  
Panel members: Mike McGee, Maricopa County; Jennifer Ruttman, Mesa; Ross Tate, Phoenix; and Mary Modelski, Valley Metro

In response to advance questions, the CAE panel members will share their approaches and experiences related to local government auditing. Time permitting, attendees will also be invited to ask ad hoc questions of the panel members.

11:50 – 12:45 p.m. Lunch

12:45 – 2:30 p.m. *Performance/Innovation Teams – How They Can Help (part 1)*  
*Auditing the Smart City (part 2)*  
(Field of Study: Auditing-Governmental)

Almis Udrys, Mayor's Deputy Chief of Staff, City of San Diego (CA)
Performance/Innovation Teams: The last few years have seen a proliferation of various innovation, or i-teams, throughout municipalities and other local governments. Appearing under a variety of names, these groups tend to focus on some combination of performance management, operational excellence, and data/analytics. Using San Diego’s Performance & Analytics Department model as a baseline for discussion, this session will inform attendees on the nature of these teams, how they operate, and how auditors can interact with these new entities that may appear to occupy a similar space. Attendees will gain a stronger understanding of the similarities and differences between these teams and auditors, as well as how each can leverage the other for mutual benefit.

Auditing the Smart City: Smart cities have been all the rage for the better part of the last decade, but what does a smart city look like and, more importantly, what are the implications of smart city deployments for auditing? This session will provide attendees with a working knowledge of the terminology, tools, and activities involved in the loosely titled ‘smart city’ movement. Attendees will become better prepared to embrace the theoretical possibilities of smart cities, but also gain the practical ability to identify audit opportunities and risks when it comes to a local government landscape that is deploying technologies and automation at a much more rapid pace than ever before.

2:30 – 2:45 p.m.  Break

2:45 – 4:25 p.m.  Discover and Develop Your Unique Strengths  (Field of Study: Personal Development)

Shari Chapman, Founder and Principal, Inlustro Consulting

Have you ever been asked ‘what are your greatest strengths?’ If you struggled answering that question, you are not alone. We are all conditioned to focus on what we don’t do well instead of what we do best. There is an approach that will help increase work performance and personal relationships - a tried and true technique called focusing on strengths. Research from the Gallup Organization proves that people succeed when they focus on what they do best. When they identify their talents and develop them into strengths, people are more productive and engaged. This session will explore the value of discovering and developing your unique strengths to increase your leadership effectiveness, productivity and engagement.

Registration Information

<table>
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<tr>
<th>Registration Type</th>
<th>On or before 08/12/2019</th>
<th>On or after 08/13/2019</th>
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<tbody>
<tr>
<td>Members – both days</td>
<td>$270</td>
<td>$300</td>
</tr>
<tr>
<td>Members – one day</td>
<td>$135</td>
<td>$150</td>
</tr>
<tr>
<td>Non-members – both days</td>
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<td>$350</td>
</tr>
<tr>
<td>Non-members – one day</td>
<td>$157.50</td>
<td>$175</td>
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Register on the ALGA member portal [HERE](#).

Full refunds are available for cancellations on or before two weeks prior to the event. Refunds of 50 percent will be allowed for cancellations on or before one week prior to the event. After this time, no refunds will be granted. Substitutions are always permitted. Questions or concerns may be directed to ALGA Member Services, 449 Lewis Hargett Circle, Suite 290, Lexington, KY 40503, (859) 276-0686.

Location: SkySong
The ASU Scottsdale Innovation Center
Synergy I in Building 3
1365 N. Scottsdale Road
Scottsdale, Arizona 85257

Parking and Directions: Free parking is available onsite. See page 5 for a parking map.

Accommodations: We are not holding a block of hotel rooms for this training.

CPE
Approximately 16 total CPE credits will be offered over two days.

Attendance Policy: In order to obtain CPE credit for the session(s) attended, attendees will be required to submit attendance codes announced during the sessions to verify their attendance.
Learning Objectives: At the conclusion of this training, participants will be able to:
- Use concepts and frameworks from fraud investigation, forensic accounting, performance/innovation initiatives, and project management to further performance audit work
- Identify major issues and audit techniques in the areas of cybersecurity and smart city technological advances
- Understand and apply key concepts of interpersonal relationships and communication to promote effective

Level of Knowledge: Overview. All levels of government auditors from director to entry-level staff are encouraged to attend.

Delivery Method: Group-Live

Advance Preparation/Education Prerequisites: No advance preparation is required. This is a paperless training, and electronic training materials will be available for download or printing prior to the event on the ALGA website. Wi-Fi will be available during the event, but participants may wish to download and/or print your materials prior to the event.

The Association of Local Government Auditors is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.nasbaregistry.org.
From ASU Tempe Campus
Take Rural Road north, which will turn into Scottsdale Road as you enter Scottsdale. Turn right on SkySong Boulevard (just before McDowell Road).

From ASU Polytechnic Campus
Enter the Loop 202 West at Williams Field Road. Drive approximately six miles to the Loop 101 North exit. Take the 101 North to the Loop 202 West (approximately 10 miles). Exit onto Scottsdale Road. Turn right on Scottsdale Road. Turn right on SkySong Boulevard (just before McDowell Road).

From ASU Downtown Campus
Take the I-10 East from Seventh Street. Exit on the Loop 202 East. Exit onto Scottsdale Road. Turn left on Scottsdale Road. Turn right on SkySong Boulevard (just before McDowell Road).

From ASU West Campus
Take the I-17 South about 10 miles to the I-10 East through downtown Phoenix. Exit on the Loop 202 East. Exit on Scottsdale Road. Turn left on Scottsdale Road. Turn right on SkySong Boulevard. (just before McDowell Road).

From Phoenix Sky Harbor International Airport
Go east on Sky Harbor Boulevard. Take the Loop 202 East toward Tempe. Exit on Scottsdale Road. Turn left on Scottsdale Road. Turn right on SkySong Boulevard. (just before McDowell Road).
- Surface parking, entrance off Innovation Place
- SkySong Boulevard parking
- Innovation Place parking